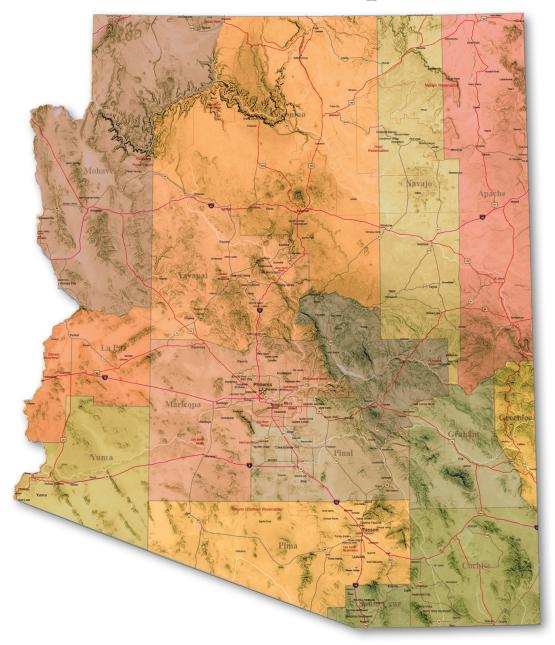
AzCRH 2015 Safety Net Health Care in Arizona Report



Prepared for Arizona AHEC



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The University of Arizona Center for Rural Health serves Arizona through its mission to improve the health and wellness of rural and vulnerable populations. AzCRH houses Arizona's State Office of Rural Health (AzSORH), the Rural Hospital Flexibility Program (AzFlex), the Small Rural Hospital Improvement Program (AzSHIP), the Western Region Public Health Training Center (WRPHTC), the AzCRH Navigator Consortium and other programs. http://crh.arizona.edu

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Table of Contents

Acknowledgements	i
Authors, Affiliations, and Contributions	i
Executive Summary	3
The University of Arizona Center for Rural Health <i>AzCRH 2015 Safety Net Health Care in Arizona Report</i> comes at a time of breathtaking change and upheaval in the nation's and in Arizona's health systems. Safety net providers are those "that organize and deliver a significant level of health care other health-related services to uninsured, Medicaid and other vulnerable patients."	n and
1. Introduction	4
1.1 Arizona's Uninsured and Recently Insured	4
1.2 Access to Health Care Services	10
2. Survey Results	14
2.1 Critical Access Hospitals	14
2.2 Community Health Centers	18
2.3 County Health Departments	22
3. Conclusions	24
Appendices	26
Appendix 1: Methodology	26
Appendix 2: Critical Access Hospital, Community and Rural Health Clinic Questionnaires	27
Appendix 4: County Health Departments	35

Executive Summary

The University of Arizona Center for Rural Health *AzCRH 2015 Safety Net Health Care in Arizona Report* comes at a time of breathtaking change and upheaval in the nation's and in Arizona's health systems. Safety net providers are those "that organize and deliver a significant level of health care and other health-related services to uninsured, Medicaid and other vulnerable patients." ¹

Arizona's core safety net providers include public, rural, community, teaching and critical access hospitals; rural health clinics; Indian Health Service and Public Law 638 Self Determination hospitals and clinics serving American Indian populations; federally qualified community health centers; public health departments and providers in counties and communities; and other health practitioners "offering services to patients regardless of their ability to pay."

Safety net providers and practitioners face unprecedented opportunities and daunting challenges in carrying out their mission while assuring a healthy fiscal margin.

Three related trends augur well for Arizona's safety net providers:

- 1) Decrease in uncompensated care and the uninsured in Arizona;
- 2) Increase in the number and take up rate of those eligible for coverage by the Arizona Health Care Cost Containment System (AHCCCS Arizona's Medicaid program);
- 3) Increase in the number and percentage covered by Arizona's Marketplace health insurance plans.

Three trends in Arizona's rural counties are concerning. Arizona's rural counties:

- Have populations with poorer health outcomes, higher rates of uninsured Native Americans and Latinos, and populations without ready access to health services;
- Have lower enrollment rates in those eligible for Medicaid or Marketplace coverage;
- Have safety net provider systems with slim fiscal margins at risk for closure.

The *AzCRH 2015 Safety Net Health Care in Arizona Report* provides data and analysis to inform stakeholders, policymakers and interventions at many levels – community, county, state, federal, providers, practitioners, health professional training institutions and others. Many of the newly insured, including those covered by Arizona's 2014 Medicaid restoration and expansion (Arizona Health Care Cost Containment System, AHCCCS) and in Arizona's Marketplace plans, will likely increase demand over time on its health system, including safety net providers.

3

¹ Institute of Medicine, America's Health Care Safety Net: Intact but Endangered (Washington: National Academies Press, 2000)

1. Introduction

The University of Arizona Center for Rural Health *AzCRH 2015 Safety Net Health Care in Arizona Report* comes at a time of breathtaking change and upheaval in the nation's and in Arizona's health systems. Safety net providers are those "that organize and deliver a significant level of health care and other health-related services to uninsured, Medicaid and other vulnerable patients." Further, a community's core safety net providers are characterized by "legal mandate or explicitly adopted mission they maintain an 'open door,' offering access to services to patients regardless of their ability to pay."

Arizona's core safety net providers include public, rural, community, teaching and critical access hospitals; rural health clinics; Indian Health Service and Public Law 638 Self Determination hospitals and clinics serving American Indian populations; federally qualified community health centers; public health departments and providers in counties and communities; and other health practitioners. Safety net providers and practitioners face unprecedented opportunities and daunting challenges in carrying out their mission while assuring a healthy fiscal margin.

The *AzCRH 2015 Safety Net Health Care in Arizona Report* provides data and analysis to inform stakeholders, policymakers and interventions at many levels – community, county, state, federal, providers, practitioners, health professional training institutions and others. Many of the newly insured, including those covered by Arizona's 2014 Medicaid restoration and expansion (Arizona Health Care Cost Containment System, AHCCCS) and in Arizona's Marketplace plans, will likely increase demand over time on its health system, including safety net providers.

In addition to data collected and analyzed from publicly available national and state databases, survey data was collected and analyzed from Arizona's Critical Access Hospitals (CAHs), community health centers – including federally designated Rural Health Clinics (RHCs) and Federally Qualified Health Centers (FQHCs), and county health departments on their staffing including health care providers, health professional trainee preceptors, and on pharmacists by service site and full-time equivalent (FTE) workers. Additional data was obtained on the number of unduplicated patient encounters, and patients per provider ratios by professional discipline. Data collection, analysis and survey response rates were sufficient to identify trends including opportunities and challenges for Arizona's safety net providers, and how these providers are adapting to the rapidly evolving health system.

1.1 Arizona's Uninsured and Recently Insured

Arizona is the sixth largest state in land area with vast, sparsely populated rural areas (Figure 2) interspersed will large unpopulated areas. County rankings of Health Outcomes and Health Factors (Figure 3) and percent uninsured (Figures 3 and 4; Table 1) can be used to target policy interventions to areas and populations. Health Outcomes rankings, where #1 is the healthiest county, are based on two measures: how long people live and how healthy people feel while alive. Health Factors rankings are based on four measures: health behaviors, clinical care, social and economic, and physical environment factors. Maricopa County consistently ranks in the top four, while Navajo and Apache Counties consistently rank in the bottom four Arizona counties.

Arizona made remarkable progress reducing its uninsured through the Patient Protection and Affordable Care Act (ACA) coverage provisions - expanding Medicaid to over 365,000 in 2014 and 2015, and an additional 205,000 enrolling or re-enrolling in Arizona's Federally Facilitated

² Institute of Medicine, America's Health Care Safety Net: Intact but Endangered (Washington: National Academies Press, 2000)

³ http://www.countyhealthrankings.org/app/arizona/2015/overview

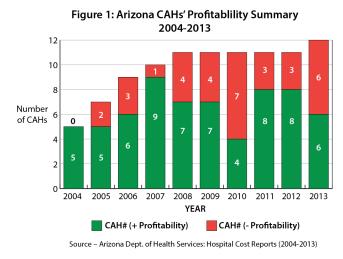
Marketplace (FFM) Qualified Health Plans (QHPs) at the end of the second open enrollment period in January of 2015. Competition among Marketplace insurers was fierce – seven offered 70 QHPs in Arizona's 13 rural counties. Ten insurers offered over 100 QHPs in the two metropolitan counties of Maricopa (Phoenix) and Pima (Tucson) where three-quarters of Arizona's population lives. Robust competition led to the second lowest silver plan premiums in the U.S. in the second open enrollment period (OE-2). The average Arizona Marketplace silver premium dropped 10% from round one (OE-1) in 2014 to round two in 2015 (OE-2).

Of those eligible for Medicaid/AHCCCS who enrolled in Arizona - the participation rate - was 74% from December 2013 to May 2015. Yet, Arizona's FFM participation rate was just 33% (205,000 of 628,000 eligible). In the 423,000 (67%) uninsured eligible who did not sign up, most were hard to reach uninsured Latino (L) and American Indian (AI) populations, Arizonans with disabilities, and those living in the state's rural and inner city underserved areas.

The third round of Arizona Marketplace 90-day open enrollment (OE-3) period began on November 1, 2015 and ran through January 31, 2016. The number of insurers and plans offered decreased between OE-2 and OE-3, especially in rural areas. The premium cost sharing increased in many plans. The number of insurers offering plans in Arizona's Marketplace from OE-2 to OE-3 in Maricopa (Phoenix) and Pima (Tucson) Counties dropped from 10 insurers offering over 100 plans to eight insurers offering 69 plans in Maricopa County and five insurers offering 28 plans in Pima County. In the remaining 13 counties, the number of insurers and plans dropped from seven insurers offering 70 plans to two to three insurers offering 15 to 18 plans between OE-2 and OE-3.

While the preliminary data of those newly covered and those renewing plans on the Arizona Marketplace suggest that more are covered, the data relating to the effectuated enrollment, that is – those that selected a Marketplace plan and paid the first month's premium - after OE-3 was not available for inclusion in this report. Health services demand will grow as more are covered.

Figure 1. Fiscal margins of Arizona's critical access hospitals.



Rural safety net health care providers struggle to maintain positive fiscal margins, and keep their doors open to serve their patients and communities. Small Medicare payment, regulatory or reporting changes can push them over the brink. Figure 1 illustrates 12 Arizona Critical Access Hospital fiscal margins at the start of this report's data gathering – half the margins are negative. Diminishing Disproportionate Share Hospital (DSH) payments, fanatical Recovery Audit Contractors (RAC) with fiscal incentives (contingency fees) to deny payment without balancing penalties to extinguish inappropriate

and unjustified denials also contribute to the hostile environment that threatens rural hospital survival. The tiered, glacial RAC denial appeal process requires a tenacity and commitment that many rural hospitals cannot sustain.

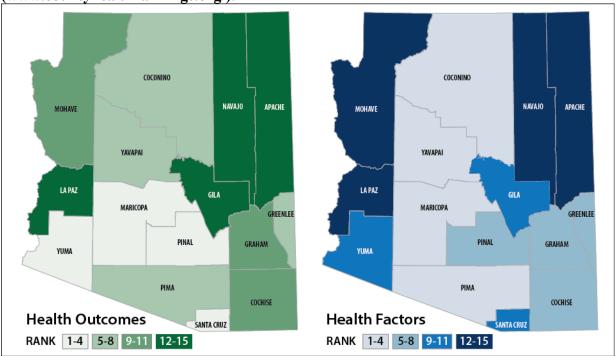
COCONINO MOHAVE OLAVAN APACHE LA PAZ MARICOPA GREENLEE GRAHAM PINAL Population density (per square mile) PIMA 0 (no resident population) COCHISE 0.001 - 6 (≈ frontier areas) 6 - 50 (≈ rural areas) Zip code boundaries 50 - 1000

Figure 2. Population density in Arizona based on Census 2010 block data.

County boundaries

1000 - 10,000 10,000 - 8,900,000 Figure 3. County Rankings of Health Outcomes and Health Factors

(www.countvhealthrankings.org/).



Latino (L) – in 2014 the U.S. Latino population had the highest percentage of uninsured at 40%. That decreased to 30% in 2015, due to ACA Medicaid and Marketplace coverage provisions. Arizona ranked fourth in Hispanic/Latino share of state populations at 30% (U.S. 17%). Latinos comprised 700,000 of Arizona's 1.2 million uninsured in 2012. After the second enrollment period in 2015, Arizona's overall FFM participation rate was 33%, and less in Latino (24%), AI (0.6%), and rural populations.

American Indian (AI) - Arizona has the third largest AI population with 345,000 or 5.3% of its population (U.S. AI is 1.2%). In 2013, 27% of AI lacked health insurance. There are 22 federally recognized tribes in Arizona; reservation land covers one-quarter of the state. With the permanent reauthorization of the Indian Health Care Improvement Act and other ACA provisions, eligible AIs can enroll in Marketplace plans at any time, with no cost sharing for those less than 300% of the Federal Poverty Level (FPL).

Adults Living with Disabilities - In 2012, 22% of Arizonans had a disability, of which 22% were uninsured in 2012. This population has difficulty accessing information and resources to enroll in QHPs, yet would disproportionately benefit from Marketplace primary and preventive services for preventable hospitalizations, readmissions, and healthcare associated infections.

Geographical disparities – In Arizona's rural and vulnerable populations, health disparities are striking especially for Latinos and American Indian Populations (Figure 4). Eliminating health disparities requires a well-trained and distributed health professions workforce to historically medically underserved, uninsured and underinsured areas and populations. Many AzAHEC regions and rural Arizona counties have major obstacles to improving health outcomes – a shortage of health providers and service sites, and higher rates of uninsured. Health services and health workforce demands will likely rise in these areas as Medicaid and Marketplace enrollment grows (Figure 5 and Table 1), and the newly covered and insured seek health services.

Figure 4. Arizona Uninsured Concentrations – Latino and American Indian Populations.

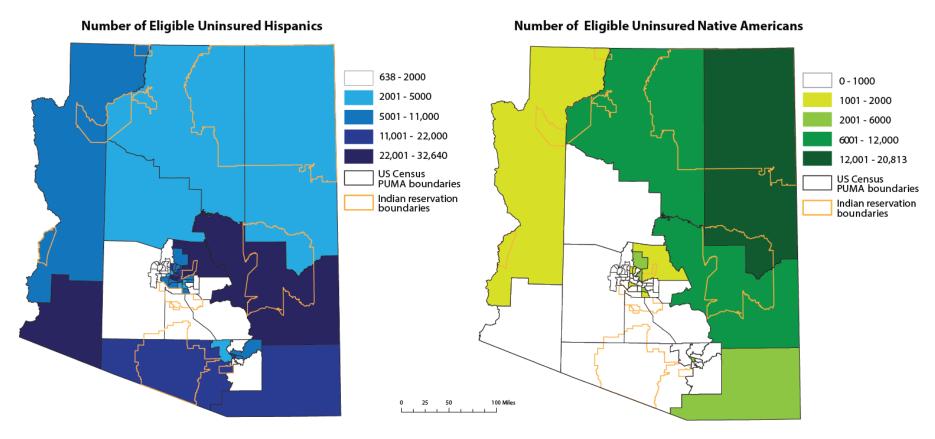
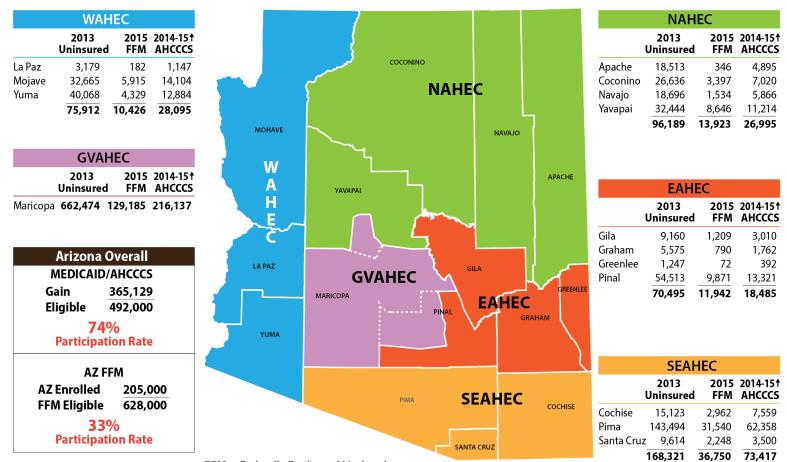


Figure 5. AzAHEC Regions, Uninsured, FFM Enrollment, Medicaid Gain 2013-15

Unisured, FFM Enrollment, Medicaid Gain



FFM = Federally Facilitated Marketplace

AHCCCS = Arizona Health Care Cost Containment System (Medicaid)

AHEC = Area Health Education Center (West, Greater Valley, East, South, North)

Table 1. Uninsured, FFM Marketplace and Medicaid Coverage by Arizona County.

County	Population 2013	Eligible Uninsured 2013*	Marketplace Mar 2015	Medicaid Dec-2013	Medicaid May-2015	Net Gain AHCCCS 2014-2015
Apache	71,867	18,513	346	29,551	34,446	4,895
Cochise	129,744	15,213	2,962	26,735	34,294	7,559
Coconino	136,690	26,636	3,397	25,837	32,857	7,020
Gila	53,063	9,160	1,209	13,980	16,990	3,010
Graham	37,435	5,575	790	7,919	9,681	1,762
Greenlee	8,944	1,247	72	1,077	1,469	392
La Paz	20,331	3,179	182	4,633	5,780	1,147
Maricopa	4,013,164	662,474	129,185	753,367	969,504	216,137
Mohave	202,855	32,665	5,915	46,347	60,451	14,104
Navajo	107,346	18,696	1,534	38,066	43,932	5,866
Pima	998,050	143,494	31,540	195,147	257,505	62,358
Pinal	390,965	54,513	9,871	50,480	63,801	13,321
Santa Cruz	47,121	9,614	2,248	15,714	19,214	3,500
Yavapai	215,389	32,444	8,646	35,968	47,182	11,214
Yuma	202,033	40,068	4,329	52,329	65,173	12,844
AZ Total	6,634,997	1,073,491	205,666	1,297,150	1,662,279	365,129
AZ Total Eligible	6,634,997	1,073,491	628,000			492,000
	ticipation Rate lled of Total El		33% Marketplace			74% Medicaid

AzCRH Analysis of: http://aspe.hhs.gov/health/reports/2015/MarketPlaceEnrollment/Mar2015 Quickfacts.census.gov and Medicaid (AHCCCS) population by AZ County at azahcccs.gov *An additional 150,000 uninsured in Arizona are not eligible for Medicaid or Marketplace coverage.

1.2 Access to Health Care Services

Safety net provider services take place in rural and critical access hospitals (CAHs),⁴ rural health clinics (RHCs), federally qualified health centers (FQHC), county health departments and by other providers and health practitioners. Arizona has 14 federally designated CAHs (including two Indian Health Service and two Public Law 638 Self Determination Sites⁵) and the 17 CAH-affiliated RHCs⁶ (Figure 6). CAHs have 25 inpatient beds or less, are 35 miles or more from another facility, and staff a 24-hour/7days per week emergency department. CAHs anchor a community's health care infrastructure, and often support its economic well-being. The percent uninsured in CAH communities range from 11 to 34% (Table 2). CAH-affiliated RHCs are federally certified to receive special Medicare and Medicaid reimbursement.

"CMS provides advantageous reimbursement as a strategy to increase rural Medicare and Medicaid patients' access to primary care services. RHCs must meet certain conditions to qualify for this reimbursement, as stipulated by Section 330 of the Public Health Service Act.

⁶ http://crh.arizona.edu/programs/flex/rhcs-list

10

⁴ http://www.cms.gov/Outreach-and-Education/Medicare-Learning-Network-MLN/MLNProducts/downloads/CritAccessHospfctsht.pdf

⁵ 638 sites are autonomous from the Indian Health Services through Public Law 93-638, the Indian Self-Determination and Education Assistance Act, as Amended (25 CFR Part 900).

The Centers for Medicare and Medicaid Services (CMS) reimburses RHCs differently than it does other facilities."⁷

Table 2. Arizona CAHs by Community Population and Percent Uninsured (2013).

CAH (City)	Pop	Uninsured%
Benson Hospital (Benson)	5,008	10.2%
Copper Queen Community Hospital (Bisbee)	5,163	16.4%
Cochise Regional Hospital (Douglas)	12,696	17.5%
Sage Memorial Hospital (Ganado)	1,169	34.0%
Cobre Valley Regional Medical Center (Globe)	6,993	10.9%
Carondelet Holy Cross Hospital (Nogales)	20,528	25.7%
Page Hospital (Page)	7,310	15.9%
La Paz Regional Medical Center (Parker)	2,886	15.9%
Parker Indian Health Center (Parker)	2,866	15.9%
Hopi Health Care Center (Polacca)	2,094	30.0%
Hu Hu Kam Memorial Hospital (Sacaton)	2,775	22.2%
White Mountain Regional Medical Center (Springerville)	1,855	22.5%
Wickenburg Community Hospital (Wickenburg)	6,542	15.3%
Northern Cochise Community Hospital (Willcox)	3,682	13.7%
Little Colorado Medical Center (Winslow)	8,052	21.7%

"Federally qualified health centers (FQHCs) include all organizations receiving grants under Section 330 of the Public Health Service Act (PHS). FQHCs qualify for enhanced reimbursement from Medicare and Medicaid, as well as other benefits. FQHCs must serve an underserved area or population, offer a sliding fee scale, provide comprehensive services, have an ongoing quality assurance program, and have a governing board of directors. Certain tribal organizations and FQHC Look-Alikes (an organization that meets PHS Section 330 eligibility requirements, but does not receive grant funding) also may receive special Medicare and Medicaid reimbursement."

There are 111 FQHC locations in Arizona⁹ (Figure 6). Health center¹⁰ penetration of low-income populations is low in urban areas and variable in rural Arizona (Figure 7).¹¹ Strategies to improve access to health services for low-income populations residing in Arizona's rural and urban areas include educating the uninsured about health insurance coverage through Medicaid and the Marketplace, expanding health services, and addressing health workforce shortages. For example, the Eastern Arizona AHEC region has a high percentage of uninsured Latinos (Figure 4), relatively few heath centers (Figure 6) serving low-income residents compared to more services provided by centers in neighboring counties in New Mexico (Figure 7), and counties with fair to poor health outcomes (Figure 3).

County health departments to varying degrees provide services that overlap with primary care (e.g., vaccinations, family planning services, diagnosis and treatment of tuberculosis (TB), Human Immunodeficiency Virus (HIV) and Sexually Transmitted Infection (STI) testing, and cancer screening). Services are often sliding fee scale and dependent on grant funding.

⁷ http://www.hrsa.gov/healthit/toolbox/RuralHealthITtoolbox/Introduction/ruralclinics.html

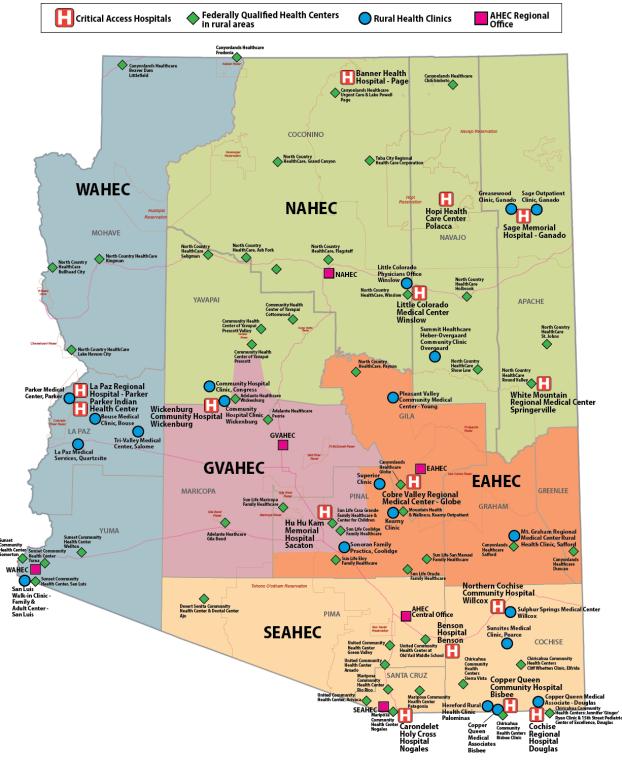
⁸ http://www.hrsa.gov/healthit/toolbox/RuralHealthITtoolbox/Introduction/qualified.html

https://npidb.org/organizations/ambulatory_health_care/federally-qualified-health-center-fqhc_261qf0400x/az/

¹⁰ http://bphc.hrsa.gov/about/what-is-a-health-center/index.html

Percent of health center patients from 2013 is divided by the number of low-income residents (<200% (FPL), in the Census ZCTA, similar to Postal Zip Code. Note: many areas classified as <20% penetration do not have a resident population (Figure 2) making the situation in Arizona depicted in Figure 7 appear worse than it is.

Figure 6. Arizona's Area Health Education Centers, Critical Access Hospitals, Rural Health Clinics, Rural Federally Qualified Health Centers (AHECs, CAHs, RHCs, FQHCs)



Note: The 84 FQHCs in Arizona's metropolitan counties (Maricopa, Pima) are not included.

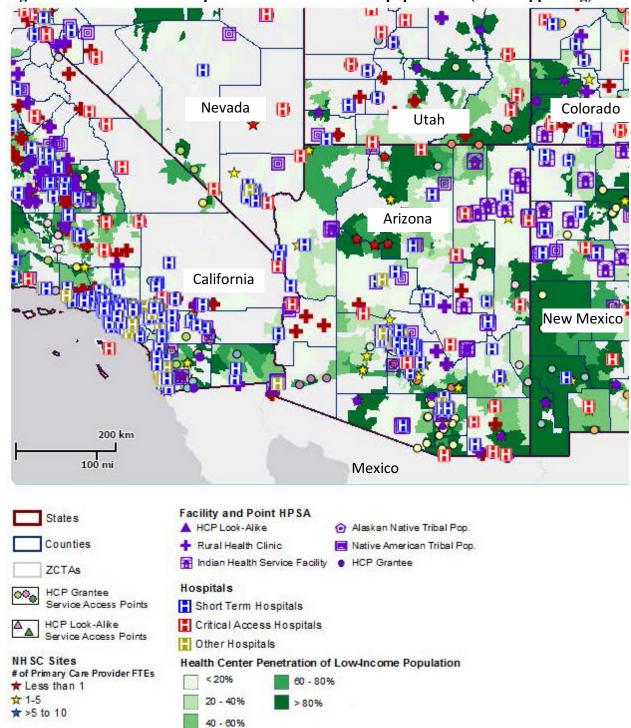


Figure 7. Health center 2013 penetration of low-income populations (UDSmapper.org).

+ Rural Health Clinics

Health services not captured in this report include non-CAH hospitals, quick care clinics, urgent care clinics, clinics associated with drug stores and retail chains, and private physician, nurse practitioner, physician assistant practice sites.

2. Survey Results

Surveys of CAHs, RHCs, FQHCs, and county health departments were designed to provide information on Arizona's core health care safety net provider system. As with many surveys, the participation rate was low. Organizations that completed surveys, did not always answer all questions. The methodology and the survey instruments used are found in Appendices 1-4.

2.1 Critical Access Hospitals

Five of the 15 CAHs responded to the survey: Cochise Regional Hospital, Copper Queen Hospital, Hu Hu Kam Memorial Hospital, Holy Cross Hospital, and Page Hospital. On July 31, 2015 Cochise Regional Hospital closed citing financial insolvency, leaving 14 CAHs in Arizona.

Most hospitals experienced an increase in patient visits from 2014-15, especially in their emergency departments. Some hospitals reported difficulties filling health provider positions, with delays up to five months for a physician opening. Many reported an increase in provider workforce, primarily due to increased demand in their in their market area, by transitioning from temporary (locum tenens providers) to permanent staff. Workforce training and development for the nursing staff was carried out in neighboring colleges or with in-house support. Hospital administrators reported interest in collaborating with academic institutions to provide education and other support to their providers, especially for emergency department residents and in nursing. Respondents expressed interest in distance learning but were constrained by resources.

CAH respondents averaged 3.5 inpatients per day. One hospital reported using a productivity standard to help recruit and retain physicians, paying a bonus for seeing 18 patient visits per day (inpatient + outpatient). Housing and school concerns impair recruiting physicians with families, as did limited work opportunities for their spouses. Obstetric physicians were difficult to recruit because of liability insurance costs, and insufficient patients to defray those costs.

Table 3. Average provider workforce and type of staffing for four critical access hospitals.

		Cou	nt of profes	ssionals				
	FTE total	Total #	# live in service area	# positions open	# hospital employed	# private medical group	# medical staffing co.	# locum tenens
Physicians Primary Care	11.3	11.3	5.3	3.0	7.0	6.5		0.8
OB/GYN	1.8	1.8	0.8		0.8	1.3		
ER	4.4	7.0	1.3	0.5	0.3		2.5	1.3
Surgeon	0.6	0.6	0.5	0.5	0.6			0.1
Psychiatrists	1.0	1.0						
Other	10.8	9.9	0.8	3.0	9.3	0.3		
Physician assistants	1.8	1.5	1.3		0.3	1.5		
Nurse practitioners	9.0	9.6	2.3	0.8	1.5	6.8	0.5	
Certified nurse midwives								
CRNAs	1.3	1.8	1.0		1.0	0.8		
Clinical nurse specialists								
Psychologists	0.3	0.5	0.3			0.3		
Behavior health counselors	0.8	0.8	0.8			0.8		

Mid-level providers were generally easier for CAHs to recruit. One hospital reported it had an NP working as an RN until a NP position opened. Some rural NPs preferred to work as RNs in rural Arizona, than work in an urban area as an NP.

Some hospital administrators reported a need for behavioral health counselors but lacked the resources to hire them. CAHs often hire workers with multiple skills and the flexibility to work in various modalities. For example, a radiology technologist specialized in sonography might be employable at large hospital but not at a CAH.

Table 4. Physician recruitment issues in four critical access hospitals

			fect on phys	ician recruitm	ent
	Physician recruitment issues	Constraint	Benefit	Not Significant	Not Relevant
	Access to larger community	2	2		
	Demographics/patient mix (underserved)		3	1	
	Social networking	1		2	1
ပ	Recreational opportunities	1	2	1	
phi	Spousal satisfaction	4			
Geographic	Schools	3		1	
reo	Shopping and other services	3	1		
	Religious/cultural opportunities		2	2	
	Climate		4		
	Perception of community			4	
	Other				
	Employment status		3		
	Part-time opportunities		3		
	Loan repayment		4		
	Income guarantee		3		1
	Signing bonus		2	1	1
ic	Moving allowance		3	1	
Economic	Start-up/marketing costs		3		1
con	Revenue flow		2	1	1
Ξ	Payor mix	1		1	2
	Competition	1	1	2	
	Retirement package		4		
	Salary (amount)		2	2	
	Production incentive		2	2	
	Other				
	Obstetrics		3		1
	Caesarean section		2		2
	Emergency room coverage		3		1
ce	Endoscopy/surgery		2		2
acti	Nursing home			1	3
pra	Inpatient care		3	1	
e of	Mental health	1	2		1
Scope of practice	Mid-level supervision	1	1	1	1
Š	Teaching	1	1	1	1
	Administration duties	1	1		2
	Office GYN procedures		1	2	1
	Other				

Table 4. Physician recruitment issues in four critical access hospitals

	10 4. I hysician recruitment issues in i			ician recruitm	ent
	Physician recruitment issues	Constraint	Benefit	Not Significant	Not Relevant
	Perception of quality		4		
	Stability of physician workforce		4		
	Specialist availability	1	1	1	1
Ŧ	Transfer arrangements		4		
odo	Nursing workforce		3	1	
Medical support	Allied mental health workforce	1	1	1	1
cal	Mid-level provider workforce		3		1
edi	Ancillary staff workforce		3	1	
Σ	Emergency medical services		3	1	
	Call/practice coverage	1	3		
	Physician workforce stability		3	1	
	Other				
	Physical plant and equipment	1	2	1	
ort	Plans for capital investment	1	2		1
ddn	Electronic medical records		2	1	1
S S	Hospital leadership		4		
ınit	Internet access		3	1	
l mu	Tele-video support		2	2	
con	Hospital sponsored CME		3	1	
nd	Community need/support of physician		4		
Hospital and community support	Community volunteer opportunities			3	1
spit	Welcome and recruitment program		3	1	
H09	Perceived fiscal stability	1	2		
	Other				

2.2 Community Health Centers

The Arizona Alliance for Community Health Centers¹² provided staffing information on 19 FOHCs¹³ - Adelante Healthcare Inc., Canyonlands Community Health Care, Chiricahua Community Health Centers Inc., Community Health Centers of West Yavapai, Desert Senita Community Health Center, El Rio Health Center, Marana Health Center Inc., Maricopa County Health Care for the Homeless, Maricopa Integrated Health Systems Clinics, Mountain Park Health Center, Native Health, Neighborhood Outreach Action for Health, North Country HealthCare, Sun Life Family Health Center, Sunset Community Health Center, Tuba City Regional Health Care Corporation, United Community Health Center Inc., and Wesley Community Center from 2010 to 2013 (Table 5). Nearly all FQHCs employ family medicine physicians, nurse practitioners, and dentists. A variety of health services are provided to the medically underserved and uninsured (Table 6).

Table 5. Average staffing in full time equivalents FTE of 19 Arizona FQHCs, FQHC Number (N) by staff type, and [minimum and maximum] staffing levels from 2010-13.

	2010	2011	2012	2013
Staff type	FTE (N) [min-max]	FTE (N) [min-max]	FTE (N) [min-max]	FTE (N) [min-max]
Family Practice	5.9 (16) [1.0-16.7]	7.3 (17) [1.0-20.5]	7.0 (18) [1.1-22.7]	7.5 (18) [1.0-23.8]
General Practice	1.1 (4) [0.6-2.0]	1.3 (3) [0.9-1.9]	0.5 (3) [0.1-1.0]	1.1 (1) [1.1-1.1]
Internist	4.1 (9) [0.8-12.5]	4.6 (9) [0.3-12.2]	5.4 (9) [1.0-14.2]	5.2 (9) [1.0-13.6]
Ob/Gyn	2.8 (12) [0.0-9.4]	3.0 (13) [0.0-9.6]	3.3 (13) [0.4-9.2]	3.8 (12) [0.3-9.3]
Pediatrics	4.8 (11) [1.0-14.7]	5.5 (11) [1.0-15.4]	4.7 (14) [0.2-16.2]	5.6 (13) [0.4-16.8]
Other Specialty	0.8 (1) [0.8-0.8]	0.1 (1) [0.1-0.1]	0.2 (2) [0.1-0.2]	0.1 (2) [0.0-0.2]
Nurse Practitioner	4.9 (16) [0.1-22.0]	5.7 (15) [0.2-16.6]	6.2 (18) [0.3-19.4]	7.3 (18) [0.1-20.4]
Physician Assistant	3.3 (13) [0.0-13.7]	2.9 (14) [0.1-9.0]	2.9 (14) [0.1-9.8]	2.7 (14) [0.0-10.5]
Certified Midwife	5.0 (5) [0.1-12.4]	4.2 (5) [1.0-12.9]	4.0 (6) [0.1-13.1]	4.2 (4) [0.1-13.0]
Dentist	3.2 (14) [0.2-15.9]	3.9 (15) [0.2-15.5]	3.8 (16) [0.1-16.9]	3.9 (18) [0.1-18.3]
Psychiatrist	0.4 (5) [0.1-1.0]	2.5 (4) [0.2-8.8]	0.3 (9) [0.0-0.8]	0.3 (7) [0.0-0.8]
Psychologists	1.0 (1) [1.0-1.0]	0.7 (2) [0.4-1.0]	3.0 (2) [1.0-5.0]	3.1 (2) [0.1-6.0]
Social Worker	1.8 (4) [0.1-3.6]	1.9 (5) [0.5-4.7]	1.8 (9) [0.6-4.4]	2.0 (9) [0.6-4.8]
Other Licensed Behavioral Health	2.7 (8) [0.7-7.0]	2.5 (8) [0.3-7.8]	1.8 (11) [0.2-7.9]	
Substance Abuse	1.0 (3) [1.0-1.0]		1.3 (2) [1.0-1.6]	
Ophthalmologist	0.1 (1) [0.1-0.1]	0.1 (1) [0.1-0.1]	0.1 (1) [0.1-0.1]	
Optometrist	0.1 (2) [0.1-0.2]	0.1 (2) [0.1-0.2]	0.1 (2) [0.1-0.2]	
Pharmacy	12.6 (9) [1.0-45.0]		14.5 (9) [1.0-50.8]	14.3 (10) [0.1-57.5]

¹² http://www.aachc.org/ AACHC is Arizona's primary care association "committed to serving as resource for organizations providing primary health care to the underserved" including FQHCs, RHCs and Tribal organizations.

https://www.azahcccs.gov/commercial/FQHC-RHC.aspx

Table 6. Services provided by community health centers in Arizona.

1 401	e 6. Services pro	viu	cui	, y C	UIIII	mui	iity	пс	11111	CCI	itti	9 111	1 N.I.	LUI	ıa.						
		Adelante Healthcare	Canyonlands Healthcare	Chiricahua CHCs, Inc.	CHC of Yavapai	Desert Senita CHC	EI Rio CHC	Maricopa Co.Health Care for the Homeless	Maricopa Integrated Health System	Mariposa CHC	MHC Healthcare	Mountain Health & Wellness	Mountain Park Health Center	Native Health	Neighborhood Outreach Access to Health	North Country HealthCare	Sun Life Family Health Center	Sunset CHC	Tuba City Regional Health Care Corporation	United CHC – Maria Auxiliadora, Inc.	Wesley Health Center
	Type not specified			X	X	X	X	X	X	X	X	X		X	X	X	X				X
	Anger management											X									
	Art therapy											X									
	Crisis services											X									
	Domestic violence											X									
	Employment services											X									
alth	Group therapy and																				
l he	counseling Individual therapy											X									
iora	and counseling											X									
Behavioral health	Inpatient services (adults)											X									
Ã	Peer services											X									
	Psychiatry/medication management											X									
	Referrals																		X		
	Residential services																				
	Serious mental illness (SMI)											Х									
	Substance abuse		X					X				X									
	General Dental Svs	X	X	X	X	X	X		X	X	X		X	X	X	X	X	X		X	
	Exams																		X		
	Fluoride treatments																		X		
Dental	Orthodontics																X				
	Restorative care																		X		
	Sealants																		X		
	X-rays																		X		
	General Education		X						X			X			X	X		X			X
	Breastfeeding		X																		
tion	Diabetes educator													X							
Education	Health Start program for new mothers													X							
Ed								v						Λ							
	Nutrition counseling Pre-natal and							X													
	parenting education		X																		

Table 6. Services provided by community health centers in Arizona.

	c o. Sci vices pro						_														
A		Adelante Healthcare	Canyonlands Healthcare	Chiricahua CHCs, Inc.	CHC of Yavapai	Desert Senita CHC	EI Rio CHC	Maricopa Co.Health Care for the Homeless	Maricopa Integrated Health System	Mariposa CHC	MHC Healthcare	Mountain Health & Wellness	Mountain Park Health Center	Native Health	Neighborhood Outreach Access to Health	North Country HealthCare	Sun Life Family Health Center	Sunset CHC	Tuba City Regional Health Care Corporation	United CHC - Maria Auxiliadora, Inc.	Wesley Health Center
Laboratory services	General Lab Services	X	X	X			X		X	X				X			X	X	X	X	X
La	Radiation Exposure Screening															X					
	Primary care	X	X		X	X	X	X	X		X	X		X	X	X	X		X	X	X
	Pediatrics	X		X	X		X		X	X	X		X		X	X		X	X	X	
	OB/GYN		X				X		X	X						X	X	X			X
	Internal medicine	X							X									X			
	Cardiology					X					X										
	Dermatology																				X
	Integrative medicine						X									X					
	Occupational health		X																		
	Oncology										X										
	Opthalmology										X										
	Optometry						X		X					X							
	Orthopedics										X										
	Pharmacy	X	X			X	X		X	X	X	X	X			X	X	X	X		
ine	Pharmacy 340B Discount Program																			X	
Medicine	Podiatry					X			X					X						ļ	
Σ	Adult									X			X								
	Cancer screenings											X			X	X					X
	Diabetes														X	X					
	Disease management, acute													X							
	Disease management, chronic			X								X		X							X
	HIV testing													X							
	HIV/AIDS Ryan White Program Part A															X	X				
	HIV/AIDS treatment, education, and intervention						X														
	Homeless						X														
	Immunizations		X				X					X		X	X				X		X
	Mammography									X											X
	Nurse midwivery services						X														

Table 6. Services provided by community health centers in Arizona.

1 401	le 6. Services pro	viu	cu i)y c	UIIII	III	пц	пса	11111	CCI	itti	3 111	AI.	LUI	ıa.						
		Adelante Healthcare	Canyonlands Healthcare	Chiricahua CHCs, Inc.	CHC of Yavapai	Desert Senita CHC	EI Rio CHC	Maricopa Co.Health Care for the Homeless	Maricopa Integrated Health System	Mariposa CHC	MHC Healthcare	Mountain Health & Wellness	Mountain Park Health Center	Native Health	Neighborhood Outreach Access to Health	North Country HealthCare	Sun Life Family Health Center	Sunset CHC	Tuba City Regional Health Care Corporation	United CHC – Maria Auxiliadora, Inc.	Wesley Health Center
	Physical therapy										X					X					
	Prenatal care			X										X	X					X	X
	Radiology		X			X	X			X	X						X	X	X		X
nt.)	Screenings														X						
00) a	Telemedicine											X				X					
icin	Ultrasound					X				X											X
Medicine (cont.)	Urgent care		X																		X
	Visiting specialists					X															
	Well child exams											X							X		X
	Wellness exams													X							
	Women's health	X		X	X						X	X	X								
	Health insurance	X	X		X		X	X			X	X		X		X	X	X			X
	navigator Car seat inspections													X							
	Case management							X				X		Λ							X
	Case Management,							Λ				Λ									Λ
	ALTCS Tribal Employment													X							
	assistance							X													
	Extended hours			X																	
	Family planning		X	X	X									X							X
	Financial Services												X								
ses	Group medical programs															X					
Other Services	Health & wellness									X											
er S	services Home visiting																				
Otho	program for Native American families with children under 5 residing off													X							
	reservation																				
	Housing, emergency, transitional, and permanent							X]
	Medical legal															X					
	partnership Outreach & education		X			X					X	X			X						
	Patient assistance																				
	prescription medication program Patient case			X															V		
	management																		X		<u> </u>

Table 6. Services provided by community health centers in Arizona.

				_			_							1201							
		Adelante Healthcare	Canyonlands Healthcare	Chiricahua CHCs, Inc.	CHC of Yavapai	Desert Senita CHC	EI Rio CHC	Maricopa Co.Health Care for the Homeless	Maricopa Integrated Health System	Mariposa CHC	MHC Healthcare	Mountain Health & Wellness	Mountain Park Health Center	Native Health	Neighborhood Outreach Access to Health	North Country HealthCare	Sun Life Family Health Center	Sunset CHC	Tuba City Regional Health Care Corporation	United CHC - Maria Auxiliadora, Inc.	Wesley Health Center
	Physicals, adult											X									
	Physicals, CDL			X																	
	Physicals, general			X																	
ont.	Physicals, school											X		X							
o) sa	Physicals, sports											X		X							
rvic	Pregnancy testing													X							
r Se	Reading program														X						
Other Services (cont.)	Referrals for additional social services							X													
	Risk assessments														X						
	School based health services										X	X				X		X		X	
	Translation services		X									X									
	Transportation			X			X			X	X			X							
	WIC/Nutrition	X									X		X	X		X					

2.3 County Health Departments

Ten of Arizona's 15 county health departments¹⁴ participated in the survey. Ninety percent of the reporting health departments provide clinics and/or services to their communities. Most report providing some free services and fee or sliding scales. Services (Table 7) and fees charged depend on outside funding through grants and state supported programs.

 $^{14} \, \underline{http://www.azdhs.gov/diro/liaison/county-health-departments.htm}$

Table 7. Services Provided by County Health Departments in Arizona.

County	Service
Coconino	WIC, dental clinic, prevention and reproductive services (website has full listing). Fees
	charged depend on grant funding.
Gila	STI & TB services, flu shots, and vaccines provided on a fee basis.
Graham	Family Planning Services
	Immunizations
	TB Control Services and TB skin tests
	Pregnancy Testing
	HIV Education and Prevention
	Onsite Wastewater Inspections (fee)
	Food Safety, Mobile Home Park, Public Swimming Pool and Septic Pumper Truck
	Inspections (fee)
	Septic location requests (fee)
	Vital Records (fee)
	Environmental Nuisance Complaint Investigations
	Public Fiduciary Services (fee)
	WIC Services including nutrition Education and Food Vouchers
	Teen Pregnancy Prevention Services
	Healthy Arizona Population Initiative Services
	Community Health Assessment
	Public Health Emergency Preparedness Services
	County Emergency Management Services
	County Human Resources
	Detention Medical Services for County Jail and Juvenile Detention Center
La Paz	Immunization clinic- some free or fee (based on insurance)
	Family planning- grant funded based on age
	TB clinic – free
	Environmental services/inspections- fees
Maricopa	Health Care for the Homeless: Free
	STI/HIV Clinic: Fee
	TB Clinic: Active and Latent TB diagnosis and treatment – Free; Health Card (TB
	Clearance Card) – Fee
	Refugee Screening Clinic: Fee
	Adult Immunization/Foreign Travel: Fee
	Hansen's Clinic: Free
	Childhood Immunizations - Free
	Oral Health Dental Sealant - Free
Mohave	WIC – free
	Breastfeeding Peer Counseling – free
	AzNN – free
	Reproductive Health – free or sliding fee scale
	TB testing – fee
	Immunizations – free or insurance billing
	Health Start – free
	Tobacco and Chronic Disease – free
	NICP – free
	STI – free or sliding fee scale
	HIV Testing – fee
	Teen Pregnancy Prevention – free
	Senior Programs, Nutrition – free/donation
	Environmental Health – fee
	Vital Records - fee
	7 1001 1000100 100

Table 7. Services Provided by County Health Departments in Arizona.

County	Service
Navajo	Vital Records-fee
	Health Education/WIC-free
	International Travel-fee
	Donations or bill insurance for:
	Immunization
	Family Planning
	TB
	STI
	HIV
	Dental Sealants
	Fluoride Varnish
Pima	See website for extensive listings
Pinal	WIC
	CSFT food boxes
	Immunizations
	Family Planning
	Reproductive Health
	STI treatment
	Cancer Screening
Santa Cruz	None but refers to a community program

3. Conclusions

Arizona's healthcare safety net needs can be estimated by direct and indirect metrics, and tracked for progress by monitoring variables including the percent and number of uninsured, socioeconomic variables, percent and number with Medicaid / AHCCCS coverage, those enrolled in the state's Marketplace plans by family or individual income (e.g. 138 to 200% FPL, 201-300% FPL, 301 to 400% FPL) and other variables. These estimates are reported at public use microdata areas (PUMA) resolution by the U.S. Department of Health and Human Services (HHS), Assistant Secretary for Planning and Evaluation (ASPE). Other data sources that can be used to understand and act on unmet health needs can be drawn from the Centers for Medicare and Medicaid Services (CMS), from the U.S. Census Bureau, the Kaiser Family Foundation, the Commonwealth Foundation and additional government, foundation, and other sources.

Certain populations, and particularly Latino, American Indian, rural and certain special populations (e.g., those with disabilities), have lower take up rates – that is those eligible for coverage who enroll. Improved education using the National Standards for Culturally and Linguistically Appropriate Services¹⁵ regarding health insurance products and accessing healthcare services would likely increase the take up rate in those eligible for coverage, reduce the financial burden on health care safety net providers, and potentially enable safety net providers, hospitals and clinics to expand their sites of service to improve access.

There are many challenges. Latinos in Arizona's Gila and Graham Counties in the Eastern AHEC region continue to have a high percentage of uninsured (Figure 4), limited health care safety net sites (Figures 5 and 6), and poorer health outcomes relative to Arizona's other counties (Figure 3). The high percentage of uninsured Latinos may be due to workers without employer sponsored or offered health insurance benefits (e.g., contract workers in the mining industry).

¹⁵ Accessible at: https://www.thinkculturalhealth.hhs.gov/content/clas.asp

Native Americans in northern Arizona's Navajo and Apache Counties in the Northern AHEC region also have a high percentage of uninsured (Figure 4), limited health care safety net sites (Figures 5 and 6), and poorer health outcomes relative to Arizona's other counties (Figure 3). The barriers to coverage, providers and services in these counties are likely to be similar to Gila and Graham Counties.

Yuma County in the Western AHEC region has a high percentage of uninsured Latinos but fares better in other metrics assessing the adequacy of the healthcare safety net. Improving insurance enrollment in Yuma County will reduce uncompensated care, improve the finances of the safety net infrastructure, and potentially improve health care services.

Appendices

Appendix 1: Methodology

The *AzCRH 2015 Safety Net Health Care in Arizona Report* data collection began July 1, 2013. Following development of safety net survey instruments and Institutional Review Board approval, recruitment began in May of 2014 after workforce surveys of physicians and pharmacists were near completion. The safety net surveys consisted of semi-structured telephone questionnaires and an emailed document of tables that requested quantitative and qualitative information about an organization's workforce.

The target safety net organizations were Arizona's 15 critical access hospitals (Benson Hospital, Carondelet Holy Cross Hospital, Cobre Valley Regional Medical Center, Cochise Regional Hospital, Copper Queen Community Hospital, Hopi Health Care Center, Hu Hu Kam Memorial Hospital, La Paz Regional Medical Center, Little Colorado Medical Center, Northern Cochise Community Hospital, Page Hospital, Parker Indian Health Center, Sage Memorial Hospital, White Mountain Regional Medical Center, and Wickenburg Community Hospital), selected federally qualified community health centers and rural health clinics (Adelante Healthcare, Canyonlands Healthcare, Community Health Center of Yavapai, Chiricahua Community Health Centers Inc., Copper Queen Medical Associates, El Rio Community Health Center, Maricopa County Health Care for The Homeless, Maricopa Integrated Health System, Mariposa Community Health Center, MHC Healthcare, Mountain Park Health Center, Native Health, Neighborhood Outreach Access to Health, North Country Healthcare, Sun Life Family Health Center, Sunset Community Health Center, Tuba City Regional Health Care Corporation, United Community Health Center – Maria Auxiliadora Inc., and Wesley Health Center), and the 15 county health departments.

These surveys complemented the workforce study. The workforce study results are separately reported. Numerous solicitations to participate in the survey were made by telephone and email.

Limitations of the Survey Data - The participation rate was low. Data was presented as averages without further statistical analysis. Information was reported in the aggregate.

Secondary data, information and analyses were collected and reported to complement survey responses. Publically available secondary information and data specific to Arizona safety net provider organizations were included as cited in the *AzCRH 2015 Safety Net Health Care in Arizona Report*.

Appendix 2: Critical Access Hospital, Community and Rural Health Clinic Ouestionnaires

Telephone interview questions

Are you experiencing noticeable changes in number of patients since January 1, 2104? If so how are you adapting? Do you believe the change is due to ACA and Medicaid expansion?

Who make up your health workforce? (Please fill out and return "provider workforce" matrix sheet before interview)

Do you have any difficulty filling openings? Any difficulty retaining employees? (please fill out and return "Physician recruitment issues" matrix before the interview)

Is there an increase or a reduction in your provider workforce based on the past 3 years? What are the reasons?

Do you foresee any reduction in your provider workforce in the next 2-3 years? What are the reasons (retirement, benefits reduced, budgets cut, etc)?

Do you have any type of workforce development or training programs? Who are they for and what are they?

Would your organization be interested in collaborating with an academic institution (like University of Arizona) to support your service delivery and patient care (educational or other supportive resources)? If so, what?

Are there any other supportive services or education that would be helpful in your efforts to provide excellent patient care? If so, what?

Provider workforce

			Count of	professional	S		T	ype of profess	ional staffing	9	
	FTE total	Total #	# board certified	# that lives within service area	# positions open	# that are hospital employees	# employed by IHS	# private medical group	# medical staffing company	# independent locum tenens	# other
Physicians Primary Care											
OB/GYN											
ER											
Surgeon											
Psychiatrists											
Other											
Physician assistants											
Nurse practitioners											
Certified nurse midwives											
Certified Registered Nurse Anesthetists											
Clinical nurse specialists											
Psychologists											
Behavior health counselors											

Site constraints and benefits for physician recruitment

Physician recruitment issues Constraint Access to larger community Demographics/patient mix (underserved) Social networking Recreational opportunities Spousal satisfaction Schools Shopping and other services Religious/cultural apportunities Climate Perception of community Other Employment status Part-time opportunities Loan repayment Income guarantee Signing bomus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstrices Caesarean section Emergency room coverage Endoscopy/surgery Mursing home Impatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures Other			E	ffect on phy	sician recruitme	nt
Demographics/patient mix (underserved) Social networking Recreational opportunities Spousal satisfaction Spousal satisfaction Spousal satisfaction Shopping and other services Religious/cultural opportunities Climate Perception of community Other Employment status Part-time opportunities Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obsterics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inputient care Imputent care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Physician recruitment issues	Constraint	Benefit	Not Significant	
Social networking Recreational opportunities Spousal satisfaction Schools Schools Religious/cultural opportunities Climate Perception of community Other Employment status Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetries Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Impatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Access to larger community				
Recreational opportunities Spousal satisfaction Schools Shopping and other services Religious/cultural opportunities Climate Perception of community Other Employment status Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Retirement package Salary (amount) Production incentive Other Obstetries Caesarcan section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Demographics/patient mix (underserved)				
Spousal satisfaction Schools S		Social networking				
Schools Shopping and other services Religious/cultural opportunities Climate Perception of community Other Employment status Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Recreational opportunities				
Religious/cultural opportunities Climate Perception of community Other Employment status Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures	hic	Spousal satisfaction				
Religious/cultural opportunities Climate Perception of community Other Employment status Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures	grap	Schools				
Climate Perception of community Other Employment status Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures	Geo	Shopping and other services				
Perception of community Other Employment status Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Religious/cultural opportunities				
Other Employment status Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Climate				
Employment status Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Perception of community				
Part-time opportunities Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Other				
Loan repayment Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Employment status				
Income guarantee Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Part-time opportunities				
Signing bonus Moving allowance Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Loan repayment				
Moving allowance Start-up/marketing costs		Income guarantee				
Start-up/marketing costs Revenue flow Payor mix Competition Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Signing bonus				
Payor mix		Moving allowance				
Payor mix	omic	Start-up/marketing costs				
Payor mix	Con	Revenue flow				
Retirement package Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Payor mix				
Salary (amount) Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Competition				
Production incentive Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Retirement package				
Other Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Salary (amount)				
Obstetrics Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Production incentive				
Caesarean section Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Other				
Emergency room coverage Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Obstetrics				
Endoscopy/surgery Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Caesarean section				
Nursing home Inpatient care Mental health Mid-level supervision Teaching Administration duties Office GYN procedures		Emergency room coverage				
Teaching Administration duties Office GYN procedures		Endoscopy/surgery				
Teaching Administration duties Office GYN procedures	tice	Nursing home				
Teaching Administration duties Office GYN procedures	prac	Inpatient care				
Teaching Administration duties Office GYN procedures	oe of	Mental health				
Administration duties Office GYN procedures	Scop	Mid-level supervision				
Office GYN procedures		Teaching				
		Administration duties				
Other		Office GYN procedures				
Ollie		Other				

		Effect on physician recruitment						
	Physician recruitment issues	Constraint	Benefit	Not Significant	Not Relevant			
	Perception of quality							
	Stability of physician workforce							
	Specialist availability							
	Transfer arrangements							
oort	Nursing workforce							
Medical support	Allied mental health workforce							
lical	Mid-level provider workforce							
Med	Ancillary staff workforce							
	Emergency medical services							
	Call/practice coverage							
	Physician workforce stability							
	Other							
	Physical plant and equipment							
	Plans for capital investment							
ort	Electronic medical records							
ddns	Hospital leadership							
Hospital and community support	Internet access							
nwu	Tele-video support							
d cor	Hospital sponsored CME							
ıl anc	Community need/support of physician							
spita	Community volunteer opportunities							
Ho	Welcome and recruitment program							
	Perceived fiscal stability							
	Other							

Appendix 3: Site specific staffing questions

Clinic name:

linic name:	FTE total	Count of p	professionals	Type of professional staffing						
Workforce composition		Total #	# positions open	# of clinic employees	# employed by IHS	# staffing company	# independent locum tenens	# other		
Physicians: Primary Care										
OB/GYN										
ER										
Surgeon										
Psychiatrists										
Other										
Physician assistants										
Nurse practitioners										
Certified nurse midwives										
Nurse Anesthetists										
Clinical nurse specialists										
Registered nurses										
Licensed practical nurses										
Cert. nursing assistants										
Psychologists										
Behavior health counselors										
Physical therapists										
Occupational therapists										
Speech therapists										
Radiology technicians										
Respiratory therapists										
Dietitians (RDs)										
Nutritionists (RDNs)										
Other nutrition counselors										
Dentists										
Dental hygienists										
Pharmacists										

Services provided at this clinic. Note: each clinic site surveyed received a list of the services they offer as displayed on the Arizona Alliance for Community Health Centers (AACHC) website (http://www.aachc.org) for verification, additions, deletions or changes in services to update this table.

Please update if needed

Yes	Services

Client visits and demographics

Please enter as much detail as you can and indicate time period, per year, calendar year to date, last month, etc.

			White,		Native			Male clie	nts	Female clients		
Time period	Total Visits	Total clients	non- Hispanic clients	Hispanic clients	American clients	Other clients	Total	Adults (18+)	Children (<18)	Total	Adults (18+)	Children (<18)
Total												
Medicare												
AHCCCS												
Private insurance												
Cash, sliding fee												
Free/unpaid	·											

Site constraints and benefits for organization's workforce recruitment

				kforce recruitme	ent
	Workforce recruitment issues (summary of all clinics within system)	Constraint	Benefit	Not Significant	Not Relevant
	Access to larger community				
	Demographics/patient mix (underserved)				
	Social networking				
	Recreational opportunities				
ohic	Spousal satisfaction				
Geographic	Schools				
Ğ	Shopping and other services				
	Religious/cultural opportunities				
	Climate				
	Perception of community				
	Other				
	Employment status				
	Part-time opportunities				
	Loan repayment				
	Income guarantee				
	Signing bonus				
	Moving allowance				
Economic	Start-up/marketing costs				
Con	Revenue flow				
	Payor mix				
	Competition				
	Retirement package				
	Salary (amount)				
	Production incentive				
	Other				
	Primary care				
	Internal medicine				
	OB/GYN				
	Pediatrics				
tice	Optometry				
Scope of practice	Radiology				
e of	Mental / behavioral health				
Scop	Nurse midwivery				
	Mid-level supervision				
	Teaching				
	Administration duties				
	Other				

		Effect on workforce recruitment				
	Workforce recruitment issues (summary of all clinics within system)	Constraint	Benefit	Not Significant	Not Relevant	
	Perception of quality					
	Stability of physician workforce					
	Specialist availability					
	Transfer arrangements					
oort	Nursing workforce					
Medical support	Allied mental health workforce					
lical	Mid-level provider workforce					
Mec	Ancillary staff workforce					
	Medical services					
	Call/practice coverage					
	Workforce stability					
	Other					
	Physical plant and equipment					
	Plans for capital investment					
E	Electronic medical records					
oddı	Clinic leadership					
ity su	Internet access					
Clinic and community support	Tele-video support					
com	Clinic sponsored CME					
and	Community need/support of workers					
linic	Community volunteer opportunities					
ū	Welcome and recruitment program					
	Perceived fiscal stability					
	Other					

Appendix 4: County Health Departments

Semi-structured telephone questionnaire (*responses and website information used in this report)

Who make up your workforce (job description)? (Use sheet of prompts if needed)

How many employees do you have currently? How does this translate into full time equivalents (FTEs)?

Do you have any current job openings? How many? Which positions?

Do you have any difficulty filling openings? Any difficulty retaining employees?

Is this an increase or a reduction in your workforce based on the past 3 years? What are the reasons?

Do you foresee any reduction in your workforce in the next 2-3 years? What are the reasons (retirement, benefits reduced, budgets cut, etc)?

*What services/clinics do you currently provide and are they free or fee?

Do you have any type of workforce development or training programs? Who are they for and what are they?

Would you be interested in any (web-based/in-person) training through the University of Arizona?

Promp	t sheet of public health workforce
	Administrative or clerical personnel
	Public Relations
	Public Information
	Health Communications
	Media Specialist
	Registered Nurse
	Nursing Aide/Home Health Aide
	Environmental Health Worker/Sanitarian
	Environmental Engineer/Technician/Scientist/Specialist
	Public Health Manager
	Emergency Preparedness Staff
	Health Educator
	Nutritionist
	Public Health Physician
	Community Health Worker/Promotora
	Epidemiologist
	Information Systems Specialist
	Laboratory Worker/Scientist/Technician
	LPN/LVN
	Public Information Specialist
	Behavioral Health Professional
	Mental Health/Substance Abuse Social Worker/Counselor
	Psychologist, Mental Health Provider/Counselor
	Occupational Safety and Health Specialist/Technician
	Oral Health Care Professional/Dentist/Hygienist/Assistant
	Animal Control Worker
	Public Health Veterinarian
	Public Health Pharmacist
	Public Health Policy Analyst
	Public Health Attorney or Hearing Officer
	Biostatistician
	Other